

# Selectivity in photolysis of benzyl acetate and benzyl hexanoate upon cyclodextrin complexation

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Received 6 October 2005; received in revised form 8 March 2006; accepted 16 March 2006

Available online 30 March 2006

## Abstract

Irradiation of benzyl acetate (**1**) and benzyl hexanoate (**2**) in methanol yields products from both heterolytic and homolytic pathways. However, in presence of cyclodextrin, photolysis of **1** in aqueous solution and in solid state produces benzyl alcohol as the predominant product and this is attributed to the stabilization of benzylcarbonium ion by secondary hydroxyl groups present at the rim of the cyclodextrin cavity. However, photolysis of **2**, in presence of cyclodextrin, produces hexylbenzene as the predominant product via a homolytic pathway and the change in selectivity is explained by the coinclusion of spacer group inside the cyclodextrin cavity.

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**Keywords:** Cyclodextrin; Photolysis; Benzyl esters; Homolytic and heterolytic mechanisms

## 1. Introduction

Photochemical cleavage of arylmethyl compounds with a leaving group ( $\text{ArCH}_2\text{-LG}$ ) has attracted considerable interest both for mechanistic reasons and functional group protection chemistry [1]. For substituted benzyl acetates, most of the work is based on a mechanism originally proposed by Zimmerman and Sandel [2]. The competition between homolytic versus heterolytic cleavage for arylmethyl–heteroatom bond is responsible for radical derived and ion derived products.

Typical radical coupling, disproportionation and hydrogen abstraction products are obtained from the radical pair. In protic, nucleophilic solvents, the ion pair is trapped by the solvent to give substitution products (photosolvolysis). This competition between the two pathways is dependent on many factors such as the leaving group, the aromatic ring (benzene versus naphthalene, benzene versus substituted benzenes), the solvent and the multiplicity of the excited state (singlet or triplet). The ease of photoheterolysis follows the reverse of ground state reactivity (i.e. *meta*-substituted benzyl acetates give ion-derived products more than the *para*-isomer and this is termed as “*meta* effect”) [3,4].

Pincock and co-workers [5,6] have extensively studied photolysis of a series of substituted benzyl acetates in methanol and propose a homolytic cleavage followed by ground state electron transfer as the dominant pathway for formation of ion pair intermediate and thus ion-derived products. Kojima and co-workers [7,8] have reported the photochemistry of  $\alpha$ -methyl-substituted naphthylmethyl and benzyl alkanolate, and demonstrated that the steric hindrance around the ester bond has large influence on the yields of photoproducts. Mechanistic study of *ortho*-substituted benzyl acetates in methanol is reported and it is pointed out that the *ortho*-substituents as well as *meta*-substituents have an electron donating effect in the excited singlet state [9]. Decomposition of phenylacetic acid and its methyl ester on photolysis is reported [10]. 1-Naphthyl methyl esters on photolysis clearly show that the final product mixture need not be a direct measure of the initial excited state bond cleavage process since electron transfer may allow interconversion between radical pair and the ion pair [11]. Rate of decarboxylation have been estimated for the acyloxy radicals formed in the photolysis of substituted 1-naphthylmethyl alkaonate [12]. Acid controlled photoreaction of various aryl alkanolates are extensively studied, in which various pathways such as, competition of transesterification, fries rearrangement and/or transposition leading to different products are proposed [13]. Rate of decarboxylation have been estimated for the acyloxy radicals formed in the photolysis of substituted 1-naphthylmethyl alkaonate [14,15].

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Cyclodextrins (CDs), having the ability to form host–guest complexes with different guest molecules of appropriate size, can be used as reaction vessels in many reactions, as their cavity can geometrically constrain the guest, stabilize intermediates and regulate the traffic of the incoming reagents or reactive intermediates towards certain accessible positions. These promising features of cyclodextrin as reaction media for photochemical transformations have been well recognized and exploited extensively [16–20]. The interesting mechanistic features of arylmethyl ester photolysis and its dependence on several factors such as ring substitution, solvent polarity, etc., have prompted us to carry out photolysis of benzyl acetate (**1**) and benzyl hexanoate (**2**) inside the cyclodextrin cavity and the salient features are discussed below.

## 2. Experimental

### 2.1. Materials

Cyclodextrins  $\alpha$ , and  $\gamma$  (American Maize Products, Indiana),  $\beta$  (Aldrich) were used as received. All the solvents used in the reactions were distilled and purified prior to the use. Benzyl acetate (**1**) was synthesized from benzyl alcohol and glacial acetic acid by literature procedure [21]. Benzyl hexanoate (**2**) was synthesized from benzyl alcohol and hexanoyl chloride as given below.

A well-stirred solution of benzyl alcohol (0.02 mol) and 1 ml of pyridine in 50 ml of dry benzene was slowly added to the hexanoyl chloride (0.02 mol) in 30 ml of benzene at room temperature. The pyridinium hydrochloride salt was precipitated, and after all of the acid chloride was added, the solution was stirred for overnight. Then 50 ml of water was added and the two layers were separated. The benzene layer was washed twice with 10% aqueous HCl, once with 5% aqueous NaOH and finally with water. The organic layer was then dried with anhydrous sodium sulphate and rotaevaporated to yield the ester. The ester was purified by column chromatography using silica gel (50:50, pet ether/ethyl acetate as the eluent). It was characterized by its  $^1\text{H}$  NMR and GC-MASS data [22].

### 2.2. Preparation and characterization of cyclodextrin complexes

1:1 CD complexes were prepared [23] by mixing an equimolar amount of the substrates (**1** and **2**) and the appropriate CD, stirring for 12 h, filtering and washing with small amount of ether to remove any uncomplexed substrate. This complex was dried in an air oven at 50 °C for 6 h. The host–guest ratio was calculated by adopting the following procedure. A known amount of the solid complex was dissolved in a minimum amount of distilled water and the guest was extracted with warm chloroform. The amount of the recovered guest was estimated gravimetrically after the removal of chloroform. The values are closer to unity indicating that a 1:1 complex is formed in all the cases.

A stock solution ( $1 \times 10^{-2}$  M) of substrates **1** and **2** was prepared by dissolving a known mass in 2% acetonitrile–water mixture. 0.1 ml of this stock solution was transferred into 10 ml volumetric flask, the respective cyclodextrin (from a 0.01 M freshly prepared stock solution in water) was added, diluted to 10 ml with water and stirred for 6 h to ensure equilibrium upon complexation. Absorption spectra were recorded at room temperature using a JASCO 550 UV–vis spectrophotometer by monitoring the absorption maximum for substrates **1** and **2** at 254 and 263 nm, respectively. The existence of an inclusion complex inside the cyclodextrins was evidenced by the measurement of dissociation constants using a non-linear curve-fitting method [24] (Fig. 1) using the following equation:

$$\Delta\text{OD} = \frac{[\varepsilon_{\text{SCD}} - \varepsilon_{\text{S}_0}]K_1[\text{CD}][\text{S}_0]}{1 + K_1[\text{CD}]}$$

Where  $\Delta\text{OD}$  is the change in absorbance of substrate in presence and absence of cyclodextrin;  $[\text{S}]_0$  and  $[\text{CD}]$  the initial concentrations of substrate and cyclodextrin, respectively;  $\varepsilon_{\text{SCD}}$  and  $\varepsilon_{\text{S}_0}$  the molar extinction coefficient of the complex and substrate; and  $K_1$  is the binding constant value of the 1:1 guest and host complexes. These equations are solved by non-linear regression analysis using PRISM (trial software).

$\alpha$ -,  $\beta$ - and  $\gamma$ -CD complexes of substrates **1** and **2** were also characterized by their  $^1\text{H}$ -NMR,  $^{13}\text{C}$ -NMR,  $^1\text{H}$ - $^1\text{H}$  COSY,  $^1\text{H}$ - $^{13}\text{C}$  COSY,  $^1\text{H}$ - $^1\text{H}$  NOESY spectra recorded in  $\text{D}_2\text{O}$  at 25 °C on a Bruker 300 MHz instrument (using the pulse sequences and standard procedures offered by Bruker) [25]. In NMR

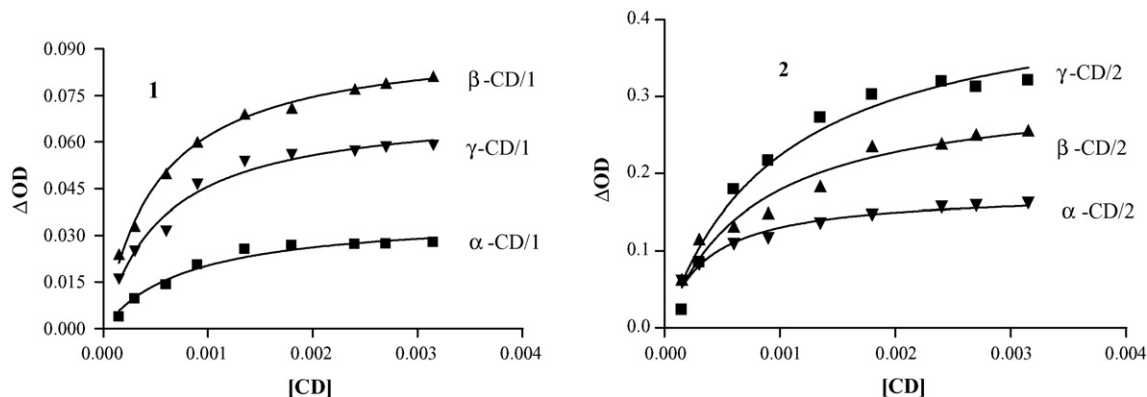


Fig. 1. Plots 1 and 2 are binding curve plot obtained from non-linear curve fitting method [sub] =  $1 \times 10^{-4}$  M, [CD] =  $1 \times 10^{-4}$  to  $3.15 \times 10^{-3}$  M.

Table 1  
Results of photolysis of benzyl acetate (**1**) in various media

Medium	Conversion of <b>1</b> (%)	Percentage of photoproducts <sup>a</sup>			
		<b>3</b>	<b>4</b>	<b>5</b>	<b>6</b>
MeOH <sup>b</sup> (dried)	8.20	100	–	–	–
MeOH/H <sub>2</sub> O (50%, v/v) <sup>b</sup>	53.2	33.2	20.6	30.9	15.3
Hexane <sup>b</sup>	Nil	–	–	–	–
Benzene <sup>b</sup>	Nil	–	–	–	–
α-CD/MeOH <sup>b</sup>	44.1	6.30	67.8	25.9	–
β-CD/MeOH <sup>b</sup>	27.8	6.90	75.0	18.1	–
γ-CD/MeOH <sup>b</sup>	32.3	8.00	60.3	31.7	–
α-CD/H <sub>2</sub> O <sup>c</sup>	87.0	–	–	100	–
β-CD/H <sub>2</sub> O <sup>c</sup>	67.6	–	–	98.4	1.60
γ-CD/H <sub>2</sub> O <sup>c</sup>	78.3	–	–	94.8	5.20
α-CD/solid <sup>d</sup>	27.7	–	–	100	–
β-CD/solid <sup>d</sup>	31.9	–	–	100	–
γ-CD/solid <sup>d</sup>	50.4	–	–	100	–

Reaction mixtures are analyzed using a Shimadzu GC-17A, SE-30 (10%) capillary column with high purity nitrogen as the carrier gas and FID detector; error limit  $\pm 3\%$ .

<sup>a</sup> Yield of photoproducts are normalized to 100; mass balance in most cases is  $\sim 85$ – $90\%$ .

<sup>b</sup> Irradiated for 18 h.

<sup>c</sup> Irradiated for 4 h.

<sup>d</sup> Irradiated for 48 h.

measurements, 15–20 mg of the appropriate CD complex is dissolved  $\sim 1$  ml of D<sub>2</sub>O (concentration  $\sim 1 \times 10^{-2}$  M) and the spectrum is recorded. Mode of complexation of benzyl acetate and benzyl hexanoate is also evidenced from circular dichroic studies using a JASCO J-810 spectropolarimeter, furnished with a 150 W xenon lamp. The measurements were performed under nitrogen flux at  $25 \pm 1$  °C and the samples contained in a quartz cuvette of pathlength of 0.1 cm. The acquisition parameters were: wavelength range 200–500 nm at steps of 1 nm, band-

width 2 nm, time constant 0.5 s and sensitivity 2 mdeg./div. The instrument was calibrated by using a 0.06% aqueous solution of ammonium D-10-camphosulphonate, from JASCO.

### 2.3. General procedure for photolysis of substrates **1** and **2** in solution and as cyclodextrin complexes

For solution photolysis, 0.050 ml of **1** and **2** in 5 ml of respective solvents was irradiated (Tables 1 and 2) in N<sub>2</sub> atmosphere at

Table 2  
Results of photolysis of benzyl hexanoate (**2**) in various media

Medium	Conversion of <b>2</b> (%)	Percentage of photoproducts <sup>a</sup>					
		<b>3</b>	<b>4</b>	<b>5</b>	<b>9</b>	<b>10</b>	<b>11</b>
MeOH (dried) <sup>b</sup>	39.1	21.3	11.9	34.7	4.50	13.6	14.0
MeOH/H <sub>2</sub> O (50%, v/v) <sup>b</sup>	44.5	19.9	9.80	32.5	–	22.1	15.7
Hexane <sup>b</sup>	<1.30	–	–	Trace	–	Trace	–
Benzene <sup>b</sup>	<1.00	–	–	Trace	–	Trace	–
α-CD/MeOH <sup>b</sup>	100	–	–	–	52.9	47.1	–
β-CD/MeOH <sup>b</sup>	71.7	–	10.6	11.6	16.5	51.1	9.20
γ-CD/MeOH <sup>b</sup>	79.4	19.5	17.8	16.3	14.6	21.2	10.6
α-CD/H <sub>2</sub> O <sup>c</sup>	62.8	–	–	43.4	–	56.6	–
β-CD/H <sub>2</sub> O <sup>c</sup>	55.9	–	–	14.5	–	16.1	69.4
γ-CD/H <sub>2</sub> O <sup>c</sup>	63.2	–	–	9.90	–	12.3	77.8
α-CD/solid <sup>d</sup>	38.1	–	–	4.50	–	59.4	36.1
β-CD/solid <sup>d</sup>	16.4	–	–	18.2	–	19.6	62.2
γ-CD/solid <sup>d</sup>	15.9	–	–	9.10	–	13.2	77.7

Reaction mixtures are analyzed using a Shimadzu GC-17A, SE-30 (10%) capillary column with high purity nitrogen as the carrier gas and FID detector; error limit  $\pm 3\%$ .

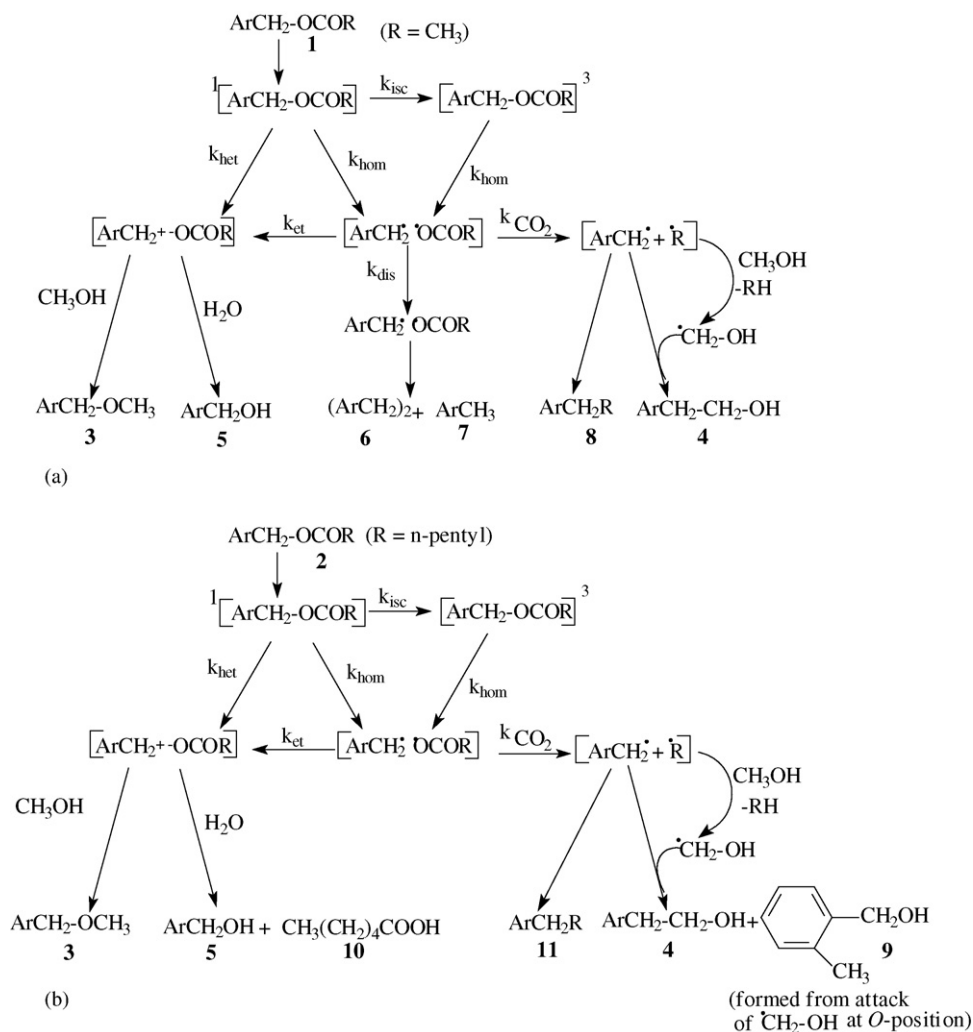
<sup>a</sup> Yield of photoproducts are normalized to 100; mass balance in most cases is  $\sim 85$ – $90\%$ .

<sup>b</sup> Irradiated for 18 h.

<sup>c</sup> Irradiated for 4 h.

<sup>d</sup> Irradiated for 48 h.

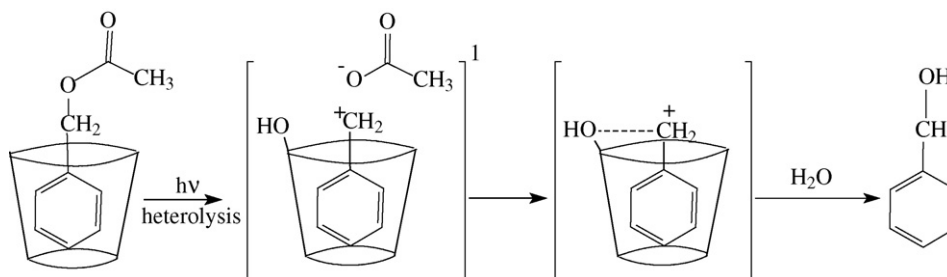




Scheme 2. Mechanisms of photolysis of benzyl acetate (a) and benzyl hexanoate (b).

electron transfer). This preferred formation of ionic intermediate may be due to stabilization of the benzylcarbonium ion by the secondary hydroxyl groups present in the wider rim of CD cavity and also by the polar solvent, namely water. At this stage, however, we cannot deduce any direct evidence for contact between the benzylcarbonium ion and the secondary hydroxyl group (which may be either a hydrogen bonding type stabilization or even a covalent linkage). In this context, it is relevant

to note that in bromination of various aromatic unsymmetrical olefins [20], the bromohydrin is obtained as the major product in presence of  $\alpha$ -CD compared to the solution reaction, which produces only the dibromide. To account for the formation of bromohydrin, stabilization of open carbocationic intermediate by cyclodextrin secondary hydroxyl group is proposed which provides the chemical evidence for the participation of hydroxyl group in stabilizing the carbocationic intermediate.



Scheme 3. Effect of CD complexation in photolysis of benzyl acetate (1).

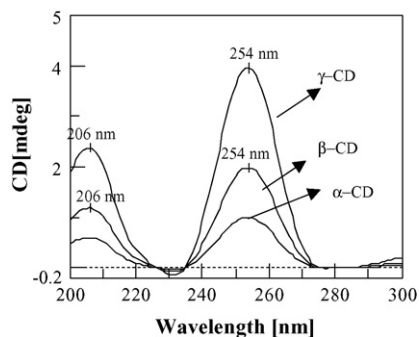


Fig. 2. ICD spectra of **1** ( $1.5 \times 10^{-4}$  M) in presence of  $\alpha$ -,  $\beta$ - and  $\gamma$ -cyclodextrins ( $7.5 \times 10^{-3}$  M).

The mode of inclusion of benzyl acetate inside the cyclodextrin is evident from the ICD spectra of **1** in presence of  $\alpha$ -,  $\beta$ - and  $\gamma$ -cyclodextrins as shown in Fig. 2. The positive ICD peak at 254 nm is attributed to the  $\pi$ - $\pi^*$  transition of phenyl group. In all the cyclodextrins, ICD peaks are positive as the electric transition dipole moment of the  $\pi$ - $\pi^*$  transition of **1** lies parallel to the symmetry axis of the cyclodextrin cavity (axial inclusion). The magnitude of ICD is higher in the case of  $\gamma$ -CD, as the hexyl group which acts as a spacer, ensures a tighter fit of **2** inside  $\gamma$ -CD cavity. It may also be attributed to the presence of **1** near the narrower rim of the cyclodextrin cavity. It is also confirmed from the  $^1\text{H}$ - $^1\text{H}$  NOESY spectra of **1** in presence of  $\alpha$ -CD (Fig. 3) which shows cross peaks A (due to the interaction of H-3 proton of cyclodextrin with *o*-proton of the phenyl ring) and B (due to the interaction of H-5 proton of CD with the *p*-proton of the phenyl group) and this justifies the mode of inclusion of **1** as shown in Scheme 3.

In the less polar methanol medium too, cyclodextrin complexation has specific effects. While the expected formation of **3** by heterolytic pathway is suppressed (compared to the corresponding reaction in the absence of CD), 2-phenylethanol (**4**) is formed more compared to **5**. This selectivity which is different from that is observed in aqueous medium may be ratio-

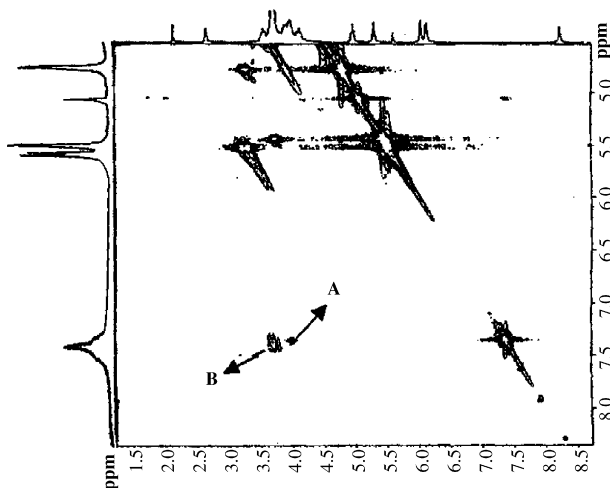


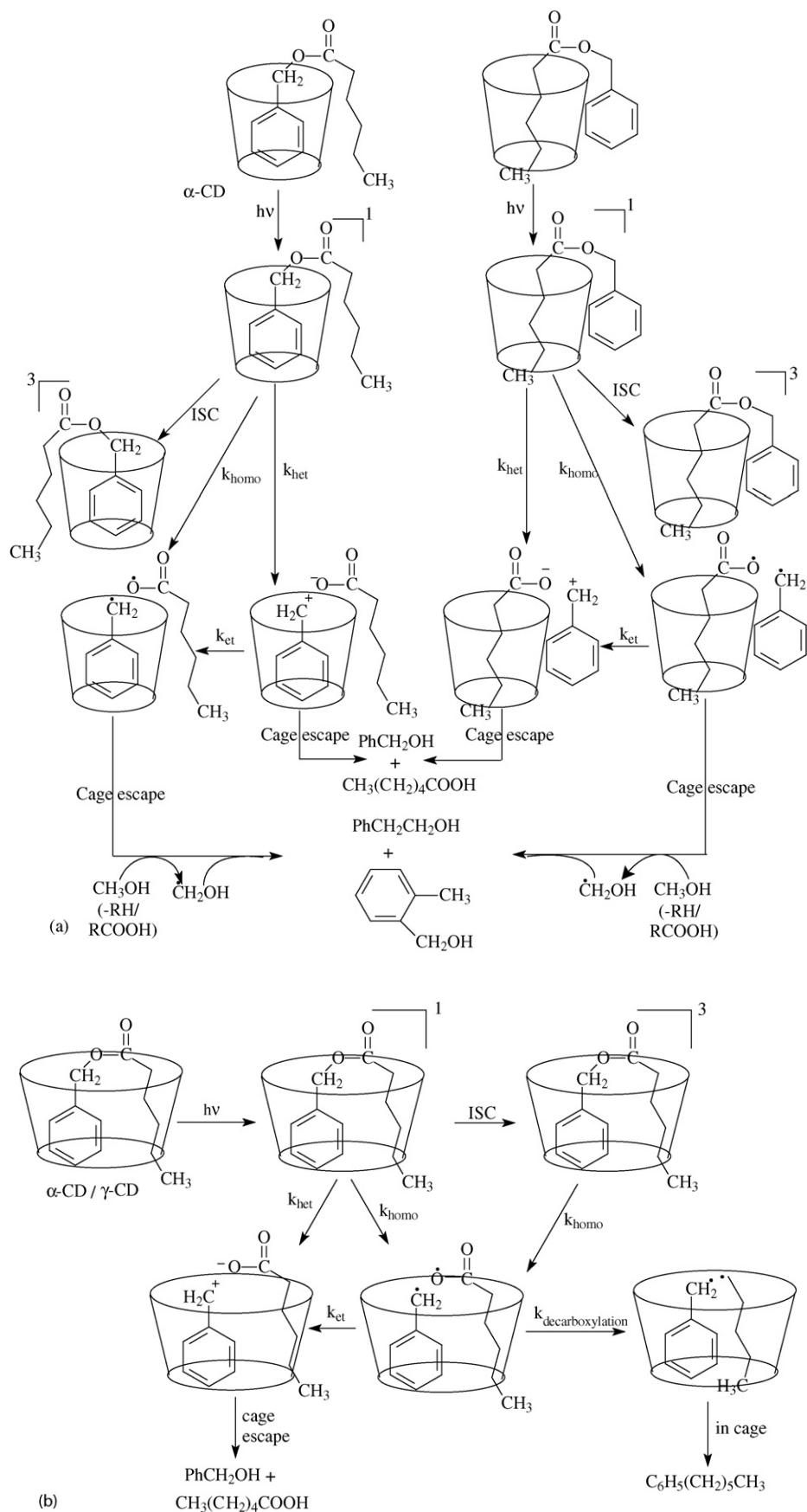
Fig. 3.  $^1\text{H}$ - $^1\text{H}$  NOESY spectra of **1**/ $\alpha$ -CD in  $\text{D}_2\text{O}$  at  $25^\circ\text{C}$ .

nalized by the cage effect exerted by the CD cavity which holds together the radical pair for a sufficient length of time. This promotes decarboxylation, followed by hydrogen abstraction by the  $\bullet\text{CH}_3$  radical from solvent to give a  $\bullet\text{CH}_2\text{OH}$  radical (exothermic) [5] which combines with the benzyl radical to give **4** as the major product. As the reaction is now carried out in a less polar methanol medium, radical pair formation by homolytic cleavage predominates now and this explains why the selectivity has changed in methanol solution with cyclodextrins. The formation of benzyl alcohol is also significant and this may be explained as follows. The radical pair, upon subsequent electron transfer may produce the ion pair inside the cyclodextrin cavity, which stabilizes the later through its secondary hydroxyl groups (Scheme 3).

As for the presence of water molecules in the solid state reaction, it is likely that during work-up, where the complex is dissolved in water and extracted with hot chloroform, attack by water on the benzylcarbonium ion may have taken place leading to the formation of **5**.

To substantiate our observation that the more polar aqueous medium promotes a heterolytic pathway leading to the formation of benzyl alcohol (**5**), while in less polar methanol, formation of 2-phenylethanol (**4**) via radical mechanism is noticed, photolysis experiments are extended to benzyl hexanoate (**2**). This substrate has a long chain hexyl group which acts as a spacer to ensure a tight fit when the substrate is included into the cyclodextrin cavity. As this spacer hinders the interaction between benzylcarbonium ion and the secondary hydroxyl groups of cyclodextrin, more radical derived products are expected with this substrate **2**.

During solution photolysis of **2** in  $\text{MeOH-H}_2\text{O}$  mixture (Table 2), ionic as well as radical derived products are formed significantly. However in non-polar solvents, such as benzene and hexane, the conversion is less than 1% and benzyl alcohol **5** and hexanoic acid **10** are formed in trace. Photolysis of cyclodextrin complexes of **2** in methanol medium produces very interesting product selectivities and a radical mechanism is operating preferentially. Within the smaller cavity of  $\alpha$ -CD, *ortho*-methylbenzyl alcohol (**9**) is the major product (along with hexanoic acid (**10**)) and as the size of the CD increases, formation of benzyl alcohol **4** increases and **9** decreases. With  $\alpha$ -CD, either the phenyl group or the hexyl group (more probable) goes into the cavity (Scheme 4a). Consequently, the radical pair, formed from homolysis of **2**, interacts readily with the methanol solvent to generate  $\bullet\text{CH}_2\text{OH}$  radical. Attack of this radical at *ortho*-position leads to the formation of **9** and at the side chain leads to the formation of 2-phenylethanol (**4**). In photolysis of cyclodextrin complexes of **2** in aqueous medium and also in the solid phase, radical mechanism is still predominant and hexylbenzene is obtained as the major product. This is much more pronounced in  $\beta$ - and  $\gamma$ -cyclodextrins. The larger cavity size in  $\beta$ - and  $\gamma$ -CD accommodates both the phenyl ring and hexyl spacer into their cavities (Scheme 4b) [26]. This cage effect facilitates coupling of the radical pair (formed after decarboxylation) to yield hexylbenzene (**11**) predominantly. A small portion of ion pair may escape from the cage, yielding **5** and **10** in small quantities.



Scheme 4. Effect of cyclodextrin complexation in photolysis of benzyl hexanoate (2) (a) α-CD; (b) β- and γ-CDs.

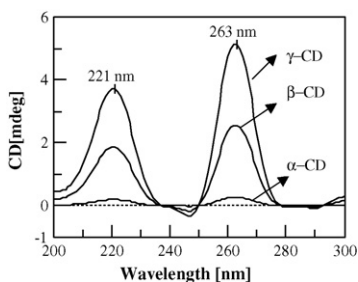


Fig. 4. ICD spectra of **2** ( $1.5 \times 10^{-4}$  M) in presence of  $\alpha$ -,  $\beta$ - and  $\gamma$ -cyclodextrins ( $7.5 \times 10^{-3}$  M).

The mode of inclusion of **2** with its hexyl spacer group inside  $\beta$ - and  $\gamma$ -CDs is very clearly evidenced from the ICD and  $^1\text{H}$   $^1\text{H}$  NOESY spectra. ICD spectra (Fig. 4) shows a peak at 262 nm which is due to the  $\pi$ - $\pi^*$  transition of phenyl group. In all the cyclodextrins, positive ICD bands are observed since the electric transition dipole moment of the  $\pi$ - $\pi^*$  transition of **2** lies parallel to the symmetry axis of the cyclodextrin cavity (axial inclusion). The magnitude of ICD is higher in the case of  $\gamma$ -CD as the spacer ensures a tighter fit inside the  $\gamma$ -cyclodextrin cavity. It is relevant to note that ICD of **2**/ $\alpha$ -CD indicating that the hexyl group rather than benzyl group, may be preferentially included into the CD cavity. It is relevant to note that ICD of **2**/ $\alpha$ -CD complex is much weaker than that of **1**/ $\alpha$ -CD indicating that

the hexyl group, rather than benzyl group, may be preferentially included into the CD cavity.

NOESY spectra for **2**/ $\alpha$ -CD and **2**/ $\gamma$ -CD are given in Figs. 5 and 6, respectively. In Fig. 5, cross peaks A (due to interaction of H-3 proton of CD with *o*-proton of **2**) and (due to interaction of H-5 of CD with *p*-proton of **2**) are observed but there is no interaction with cyclodextrin inner proton with the hexyl protons of **2** as there is no corresponding cross peaks. Also, in the 2D NMR data for  $\alpha$ -CD complex of **2** there is no cross peak obtained for the resonance of benzylic protons with the hexyl spacer group. However, difference in chemical shift (upfield) values are observed for  $\text{H}_d$ ,  $\text{H}_c$  and  $\text{H}_b$  protons by 0.012, 0.03 and 0.08 $\delta$ , respectively. The benzylic protons on the other hand are unaffected.

Whereas in the case of **2**/ $\gamma$ -CD, cross peaks A–C (Fig. 6a) due to the interaction of  $\text{H}_d$  proton of hexyl group with H-3 of CD, interaction of  $\text{H}_b$  and  $\text{H}_c$  proton of hexyl group with H-5 proton of CD and interaction of  $\text{H}_a$  with H-6 of CD, respectively, are observed. Fig. 6b shows the interaction of aromatic group of **2** with cyclodextrin protons, which show two cross peaks D and E corresponding to the interaction of H-5 proton of CD with the *ortho*-proton of phenyl and H-6 proton of CD with the *para*-proton of aromatic ring.

This can be considered as a strong evidence for the inclusion of the hexyl spacer group inside the  $\gamma$ -cyclodextrin cavity and the

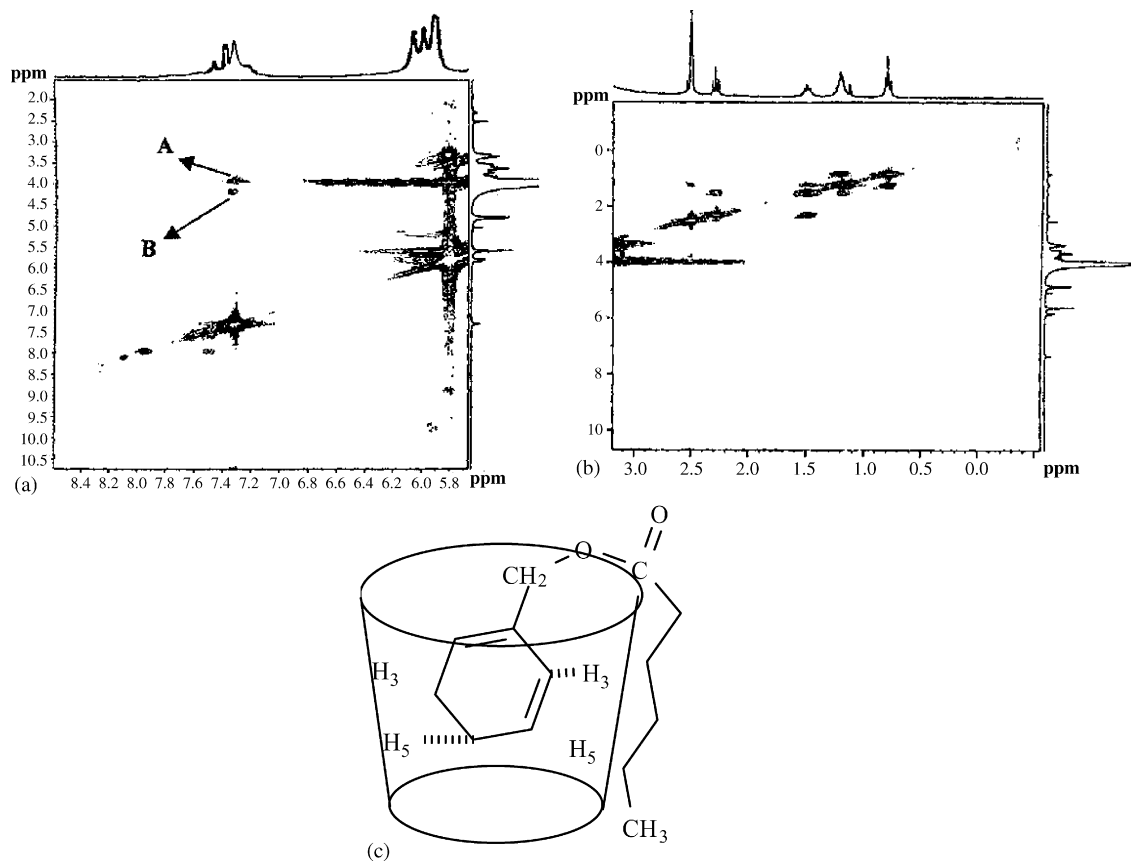


Fig. 5. (a and b)  $^1\text{H}$ - $^1\text{H}$  NOESY spectra of **2**/ $\alpha$ -CD in  $\text{D}_2\text{O}$  at  $25^\circ\text{C}$ . (c) Schematic representation of mode of inclusion of **2** inside the  $\alpha$ -CD.



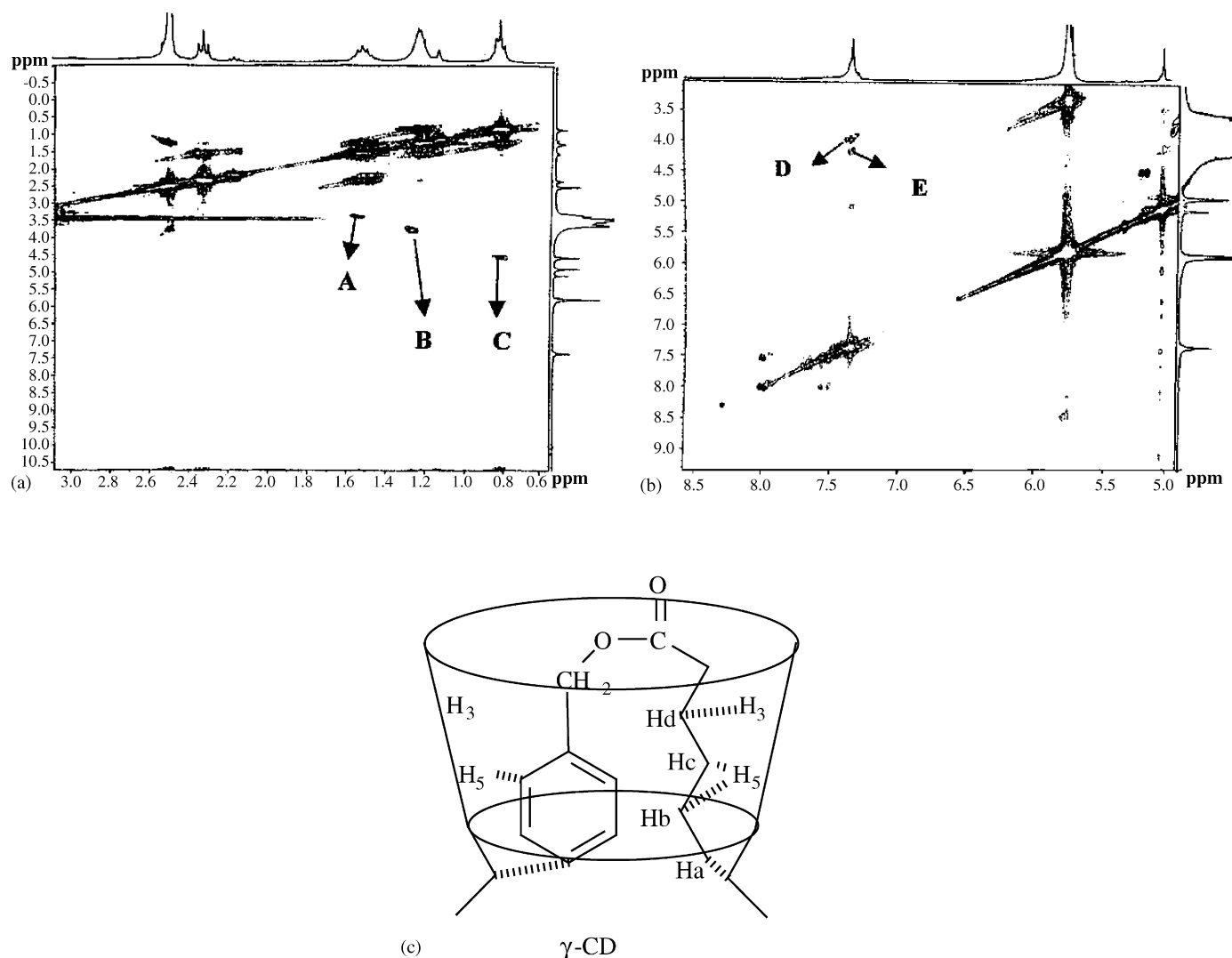


Fig. 6. (a and b)  $^1\text{H}$ - $^1\text{H}$  NOESY spectra of **2**/ $\gamma$ -CD in  $\text{D}_2\text{O}$  at  $25^\circ\text{C}$ . (c) Schematic representation of mode of inclusion of **2** inside the  $\gamma$ -CD.

observed information from the NOESY is given as a schematic representation in Fig. 6c.

#### 4. Conclusions

Thus, the results of the present study indicate clearly that photolysis of benzyl acetate (**1**) mediated by cyclodextrin (both in aqueous media and also as solid cyclodextrin complexes) results in the formation of benzyl alcohol (**5**) as the predominant product by the way of a heterolytic mechanism. This effect of cyclodextrin in suppressing the radical pathway and shifting the mechanism towards a heterolytic pathway is explained as due to stabilization of benzylcarbonium ion by the secondary hydroxyl groups of cyclodextrin. Photolysis is also selective in the less polar methanol medium yielding 2-phenylethanol as the major product, mainly by way of a radical route. However, in photolysis of benzyl hexanoate (**2**) with its longer alkyl chain, the homolytic pathway is predominant and hexylbenzene (**11**) is obtained as the major product. Coinclusion of the spacer group into the CD

cavity (particularly with  $\beta$ - and  $\gamma$ -CD) is attributed to this selectivity.

#### Acknowledgement

Financial assistance from Council of Scientific and Industrial Research (CSIR), New Delhi, is gratefully acknowledged.

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